

Australian Government Australian Transport Safety Bureau

# Engine failure involving Airbus A330, 9M-XXE

Near Carnarvon, Western Australia, on 25 June 2017



ATSB Transport Safety Report Aviation Occurrence Investigation AO-2017-066 Final – 30 June 2020 Cover photo: Rolls-Royce plc

Released in accordance with section 25 of the Transport Safety Investigation Act 2003

#### **Publishing information**

Published by:	Australian Transport Safety Bureau
Postal address:	PO Box 967, Civic Square ACT 2608
Office:	62 Northbourne Avenue Canberra, Australian Capital Territory 2601
Telephone:	1800 020 616, from overseas +61 2 6257 4150 (24 hours) Accident and incident notification: 1800 011 034 (24 hours)
Email:	atsbinfo@atsb.gov.au
Internet:	www.atsb.gov.au

© Commonwealth of Australia 2020



#### Ownership of intellectual property rights in this publication

Unless otherwise noted, copyright (and any other intellectual property rights, if any) in this publication is owned by the Commonwealth of Australia.

#### **Creative Commons licence**

With the exception of the Coat of Arms, ATSB logo, and photos and graphics in which a third party holds copyright, this publication is licensed under a Creative Commons Attribution 3.0 Australia licence.

Creative Commons Attribution 3.0 Australia Licence is a standard form license agreement that allows you to copy, distribute, transmit and adapt this publication provided that you attribute the work.

The ATSB's preference is that you attribute this publication (and any material sourced from it) using the following wording: *Source:* Australian Transport Safety Bureau

Copyright in material obtained from other agencies, private individuals or organisations, belongs to those agencies, individuals or organisations. Where you want to use their material you will need to contact them directly.

#### Addendum

Page	Change	Date

# Safety summary

# What happened

On 25 June 2017, an Airbus A330-343X aircraft, registered 9M-XXE and operated by AirAsia X departed Perth, Western Australia, on a scheduled passenger service to Kuala Lumpur, Malaysia. Approximately one hour into the flight, during a step change in altitude, the flight crew heard a metallic bang, significant vibration started through the airframe and ENG 1 [left] STALL warning was presented to the flight crew. The flight crew completed the relevant engine failure procedure and commenced a single-engine return to Perth. Despite the flight crew's attempts to reduce the vibration by varying the aircraft's altitude and airspeed, the airframe continued to vibrate for the remainder of the return flight. After an event free landing at Perth, it was discovered that approximately three quarters of one fan blade was missing from the left engine.

# What the ATSB found

The fan blade failed due to fatigue cracking located within a high stress region of the blade. Detailed structural analysis found that the primary drivers for the initiation of the fatigue crack were a small acute corner radius where the internal reinforcing membrane joins to the convex skin panel of the blade, together with an increased general stress state for the Trent 700 fan blades. This radius was not part of the design definition, but was an artefact of the blade manufacturing processes. It was found that due to the complex manufacturing processes, there could be variation in this radius. The radius size could not be non-destructively measured, and as a result, the variation in this radius, and the effects it could have on the premature onset of fatigue cracking were not previously identified by the engine manufacturer.

As a result of a number of previous fan blade failures, the engine manufacturer had instigated a non-destructive inspection programme designed to detect internal cracking. Although the failed blade had been subjected to this inspection, the fatigue crack progressed to failure before its next scheduled inspection. As such, it was found that the inspection interval was too great to capture the crack that developed in this blade before it reached a critical size.

The flight crew decided to divert to Perth. While Perth was the nearest suitable aerodrome, at the time of the engine failure Learmonth was significantly closer. The fight crew's decision to divert to Perth was influenced by the operator's classification of Learmonth as an emergency aerodrome to be used in 'dire emergency only', a condition that the flight crew determined that was not applicable to their aircraft.

### What's been done as a result

The engine manufacturer, Rolls-Royce, has taken a number of safety actions, including the revision of service bulletin RB.211-72-AH465 released in October 2017 to reduce the inspection interval from 2,400 to 1,200 cycles. This was supported by the release of European Aviation Safety Agency (EASA) Airworthiness Directive (AD) 2018-0188. Additionally, in November 2019, Rolls-Royce again revised RB.211-72-

AH465 to introduce an enhanced inspection process thereby enabling the nominated inspection techniques to identify smaller cracks.

Rolls-Royce also issued service bulletin RB211-72-K096, to inspect a population of fan blades which showed defect indications at their last inspection, but were below the threshold for action. All of these blades were removed from service and were subjected to repeat inspections to confirm whether or not they were cracked. Additionally, it was intended that the blades would be sectioned (destructively examined) to confirm the nature of the indications. The data collected from these additional inspections was used by the manufacturer to refine the blade inspection process and introduce tighter acceptance limits.

Rolls-Royce have initiated a review of the design and manufacturing of the Trent 700 fan blade to address factors that could lead to failure and to make the blade more tolerant to the effects of the small acute corner radii. Additionally, Rolls-Royce is undertaking work to understand what manufacturing processes may influence the formation of the acute corner radii. This review activity has identified areas for improvement such as introducing local panel thickening to reduce blade panel stress in susceptible areas.

### Safety message

During the complex manufacturing process of Rolls-Royce Trent 700 fan blades, a latent issue developed that was not realised for a number of years. This investigation demonstrates the importance for manufacturers of critical components, and regulators monitoring the manufacturers, to have systems in place to quickly identify core issues and put in place measurers to mitigate risk. This incident represents a good example of how manufacturers, through quick and positive actions can communicate engineering solutions and technical information to operators and maintenance providers through messages, service bulletins and service letters. It also demonstrates how regulatory authorities can work with manufacturers and incorporate mandatory safety solutions through communications including Airworthiness Directives.

# Contents

The occurrence	1
Context	4
Operator	4
Aerodrome information	4
Perth	4
Port Hedland	4
Learmonth	4
Geraldton	4
Operator procedures relevant to the diversion	4
Engine information	5
Left engine damage examination	6
Maintenance history of the failed blade	6
Trent 700 fan blade information	7
Wide-chord hollow-core fan blade description	7
Manufacturing process	7
Rolls-Royce investigation	8
Examination of failed fan blade RGF35538	8
Previous fan blade failures	9
Stress analysis	10
Trent 700 fan blade design	11
Previous Trent 700 fan blade failures service management	11
Safety analysis	13
The failed fan blade	13
Findings	15
Contributing factors	15
Other findings	15
Safety issues and actions	16
General details	
Occurrence details	19
Aircraft details	19
Personnel information - Captain	19
Personnel information – First Officer	19
Sources and submissions	20 20
References	20 20
Submissions	20
Appendix A – Additional operational information	
Operational information	21
The Operations Manual suite The electronic centralised aircraft monitor	21
	21
Emergency and abnormal procedures Aerodrome data available to the flight crew	22 23
•	23 23
Operator's policies, procedures and guidance	
Appendix B – History of Trent 700 fan blade failures and in-service management	
Australian Transport Safety Bureau	
Purpose of safety investigations	28
Developing safety action	28
Terminology used in this report	29

# The occurrence

At 0710 Western Standard Time (WST)<sup>1</sup> on 25 June 2017, an AirAsia X Airbus A330-343X, registered 9M-XXE, departed Perth, Western Australia, on the scheduled passenger service XAX237 to Kuala Lumpur, Malaysia. The flight crew consisted of the captain, as the pilot flying (PF),<sup>2</sup> and the first officer, as the pilot monitoring (PM).

The flight was planned as an extended diversion time operation (EDTO)<sup>3</sup> with a maximum diversion time of 120 minutes. The first EDTO segment commenced about 1 hour into the flight, and 8 NM before the NONOG waypoint.<sup>4</sup> The flight crew reported that they carried out an EDTO brief before entering the EDTO segment. The EDTO briefing included that, in the event of an engine failure, Perth Airport was the nearest suitable alternate.

The flight had been progressing normally with the autopilot and autothrust systems engaged, when at 0814, about 1 hour and 4 minutes into the flight, the flight crew initiated a planned climb from the initial cruising altitude of flight level (FL) 380<sup>5</sup> to climb to FL 400. Shortly after (and after passing NONOG), the flight crew reported hearing a 'metallic' bang. At about the same time, the electronic centralised aircraft monitor (ECAM) presented a number of alerts, including engine 1<sup>6</sup> control system fault (ENG 1 CTL SYS FAULT) and engine 1 stall<sup>7</sup> (ENG 1 STALL). The crew also reported that vibrations through the airframe commenced just after the ENG 1 STALL alert.

The flight crew immediately commenced the required procedures presented on the ECAM (referred to as the 'ECAM actions') associated with the ENG 1 STALL alert, including shutting the left engine down. The ECAM then displayed an amber 'LAND ASAP' alert.

About the same time as the left engine malfunctioned, air traffic control (ATC) requested the flight crew call on a new frequency. The flight crew did not respond. At 0816 the flight crew declared a MAYDAY to ATC, stating that they had an engine

<sup>&</sup>lt;sup>1</sup> Western Standard Time (WST): Coordinated Universal Time (UTC) + 8 hours.

<sup>&</sup>lt;sup>2</sup> Pilot Flying (PF) and Pilot Monitoring (PM): procedurally assigned roles with specifically assigned duties at specific stages of a flight. The PF does most of the flying, except in defined circumstances; such as planning for descent, approach and landing. The PM carries out support duties and monitors the PF's actions and the aircraft's flight path.

<sup>&</sup>lt;sup>3</sup> An EDTO is any flight by a turbine-engine aeroplane where the flight time from a point on the route to an adequate aerodrome, at the one engine inoperative speed cruise speed for aeroplanes with two engines, or at the all engine operating speed for aeroplanes with more than two engines, is greater than the threshold time established by the state of the operator.

<sup>&</sup>lt;sup>4</sup> The NONOG waypoint, located at S 25 17 27.91 E 112 27 38.37, was about 430 NM (800 km) north north-west of Perth.

<sup>&</sup>lt;sup>5</sup> Flight level: at altitudes above 10,000 ft in Australia, an aircraft's height above mean sea level is referred to as a flight level (FL). FL 380 equates to 38,000 ft.

<sup>&</sup>lt;sup>6</sup> The engines on the A330 are numbered from left to right looking forward. Engine 1 is the left engine.

A stall in a gas turbine engine is when the normal flow of air through the engine is disrupted. This can result in an engine surge, where the flow through the engine completely breaks down and is reversed.

issue and could not maintain level.<sup>8</sup> ATC acknowledged the MAYDAY and cleared the aircraft to return to Perth, and operate in the block altitude<sup>9</sup> of FL 290 to 400.

At the same time that the crew commenced the descent, they also made a turn to the right. The crew discussed their options for a diversion. They reported that given the LAND ASAP was amber, not red, they would divert (return) to Perth, as they had briefed for shortly before entering the EDTO region. Regarding other diversion options, the flight crew reported that Learmonth Airport was not considered as it was not an EDTO suitable airport and it did not have any rescue and firefighting services.

At 0835, ATC advised the flight crew that Geraldton Airport was 172 NM south-east of their position and provided the current weather conditions and runway length. The flight crew discussed the option. There was no information on Geraldton in the aircraft database and they determined that the runway at Geraldton was too short for their current weight, so decided that they would continue to Perth (Figure 1).





Source: Google earth, modified by ATSB.

<sup>&</sup>lt;sup>8</sup> The procedure following an engine out is to cruise descend to the altitude at which the minimum drag speed can be sustained with the remaining engine selected to produce max continuous thrust (MCT).

<sup>&</sup>lt;sup>9</sup> A block of altitudes assigned by ATC to allow altitude deviations without requiring further clearance.

The flight crew described the vibrations as initially being severe, but they lessened after the engine was shut down. As the aircraft descended, however, the flight crew noted that the vibrations increased. In an attempt to reduce the vibration, the flight crew varied the aircraft's speed and altitude. The vibrations, however, remained, and with about the same intensity. The flight crew reported that, while the instruments were shaking as a result of the vibration, the flight instruments and the ECAM could still be read.

About 30 minutes after the left engine malfunctioned, a cabin crew member informed the flight crew that there was black smoke coming from that engine. In response to that observation, at 0843 the flight crew discharged one of the left engine's two fire extinguisher bottles. There were no further reports of smoke from that engine.

The aircraft landed at Perth at 0957. A post-flight inspection revealed that approximately three quarters of one fan blade (serial number RGF35538) aerofoil was missing on the left engine (Figure 2). No damage to the airframe was identified.



Figure 2: Left engine failed fan blade, with adjacent damaged blades

Image source: Rolls-Royce plc

# Context

# Operator

AirAsia X is based in Kuala Lumpur, Malaysia, operating under an Air Operator's certificate issued by the Department of Civil Aviation Malaysia. AirAsia X operates long haul air transportation services throughout the Asia-Pacific region and the Middle East.

# **Aerodrome information**

#### Perth

The operator designated Perth as a destination aerodrome and included it within the list of company preferred alternates. The NOTAMs identified that the aerodrome's rescue and firefighting services (RFFS) category<sup>10</sup> varied between CAT 9 and 10 on the day of the occurrence.

### Port Hedland

Port Hedland was identified by the operator as a preferred alternate aerodrome.

#### Learmonth

Learmonth was identified by the operator as an emergency alternate. While it met the required runway criteria, it did not have any RFFS capability.

#### Geraldton

Geraldton was not listed as a preferred or an emergency alternate aerodrome by the operator. The runway's available landing distance was 1,981 m. The aerodrome did not have any RFFS capability.

### **Operator procedures relevant to the diversion**

The below provides a summary of the operator's procedures relevant for the diversion decision made by the crew. For full background information on the aircraft systems that and procedures available to the crew for diverting during extended diversion time operations (EDTO), refer to *Appendix A – Additional Operational information*.

The engine shutdown resulted in an amber 'land as soon as possible' electronic centralised aircraft monitor (ECAM) message. The amber message required a less urgent response than a red message, and the *Flight Crew Operation Manual* defined the appropriate actions as:

If amber LAND ASAP is part of the procedure, consider landing at the nearest suitable airport.

<sup>&</sup>lt;sup>10</sup> An aerodrome's required RFFS is based on a number of criteria, including the overall length and the fuselage width of the aircraft that predominantly use the aerodrome, and a threshold value in the number of movements of the highest category of aircraft. The various levels of RFFS category (CAT) establish a requirement for, among other things, the types and number of rescue and firefighting equipment required to be available at that aerodrome.

Note: The suitability criteria should be defined in accordance with the Operator's policy.

The engine malfunction occurred shortly after the aircraft entered an EDTO segment of the flight. The operator's EDTO procedures included a number of matters relevant to the flight crew's diversion decision:

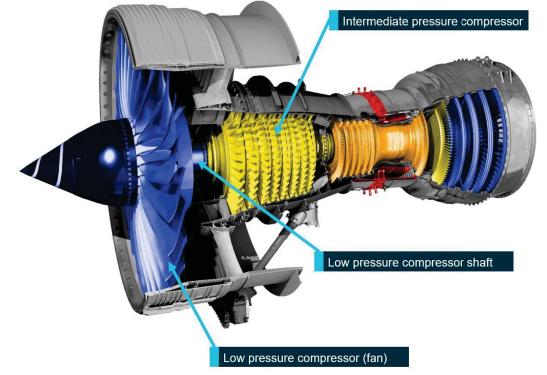
- Specific aerodromes were nominated by the operator as preferred (suitable) alternates for use by flight crew should they determine that circumstances, such as an engine failure, required the flight to be diverted to an alternate aerodrome. Those aerodromes were designed to meet specific operational requirements for the A330 type aircraft. Perth and Port Hedland were the closest suitable alternates at the time of the engine failure.
- As the north-western part of Australia had sparse coverage of available alternate aerodromes, the operator had included Learmonth in the list of nominated aerodromes, but specifically as an emergency alternate. While Learmonth was significantly closer at the time of the engine failure, an emergency alternate aerodrome was only to be used in the case of a dire emergency.
- The flight crew had just completed a briefing that included the nomination of alternate aerodromes to be used if required during the EDTO segment. The initial intended diversion destination was Perth.
- Following the engine malfunction, the flight crew determined that a dire emergency did not exist, and therefore Learmonth was not required as a diversion aerodrome.

As a result of the above factors, the flight crew decided to divert to Perth. While Geraldton was offered by air traffic control as an immediate diversion option early in the diversion, the flight crew stated that the absence of data available for that aerodrome and the short landing distance available, eliminated Geraldton as a diversion option.

The ATSB considered the decision by the crew to divert Perth was in line with the operator's procedures. As a result, the remainder of the report will focus on the engine fan blade failure.

# **Engine information**

The aircraft was powered by two Rolls-Royce Trent 772B-60 (Trent 700) engines. Each engine is a three-spool, high bypass turbofan with three compressor/turbine assemblies, identified as the low-pressure, intermediate-pressure and high-pressure assemblies (Figure 3). The low-pressure compressor is also referred to as the fan.



#### Figure 3: Trent 700 cutaway diagram

The cutaway identifies the Trent 700's low pressure assembly (blue), the intermediate pressure assembly (yellow), the high-pressure assembly (orange) and the combustion chamber (red). Source: Rolls-Royce, modified by ATSB

#### Left engine damage examination

The left engine, serial number 41778, was removed from the aircraft and sent to Hong Kong Aero Engine Services (HAESL), a joint owned Rolls-Royce maintenance and repair facility. The remaining section of released fan blade was sent to the United Kingdom for laboratory inspection by Rolls-Royce.

The engine strip inspection identified that the blade failure was contained within the engine. There was no evidence of internal or external fire, nor of pre-existing foreign object damage. Secondary damage was observed on the remaining fan blades as a result of impact damage and heavy tip rub. The engine inlet cowling suffered damage due to secondary debris impacting the inner barrel. The low pressure (LP) compressor (fan) shaft was bent by about 0.5 inches (13 mm) along its length and exhibited frictional heating damage between the shaft and the intermediate pressure (IP) front stub shaft. The IP compressor module front bearing house assembly was distorted and damaged by the contact of the LP compressor shaft/bearing housing assembly on to the front face.

Inspection of the mount system did not show any signs of distortion, however due to the magnitude of the loads transmitted through the mounts from vibrations, the hardware was deemed unserviceable.

### Maintenance history of the failed blade

The failed fan blade, serial number RGF35538, was manufactured between December 2009 and February 2010. There was no record of the blade requiring

rework during manufacture. It was installed new on engine serial number 41778, where it remained until failure.

The fan blade underwent a phased array inspection in accordance with NMSB 72-AH465 (see *Previous Trent 700 fan blade failures service management*) on 11 September 2014 at 3,602 cycles since new. The blade accumulated a further 1,099 cycles between that inspection and the failure.

# Trent 700 fan blade information

#### Wide-chord hollow-core fan blade description

The efficiency and cost of modern turbine engines has improved over the years through the use of high bypass ratios and fewer fan blades with a wider chord.<sup>11</sup> This has led to longer and wider fan blades with complex aerodynamic designs. These large fan blades must be of low weight, to enable the engine to withstand large out-of-balance forces should a fan blade fail, as well as ensuring that a blade failure is contained within the engine. The blades must also have sufficient strength to withstand high aerodynamic loads, low-cycle<sup>12</sup> and high-cycle<sup>13</sup> fatigue loads and foreign object damage.

One method of achieving this combination of strength and low weight was through the use of wide-chord hollow-core titanium blades with an internal support structure. This was the method used in the Trent 700 fan blades which used a corrugation style internal support structure (Figure 4).

#### Manufacturing process

The manufacturing process for the Trent 700 fan blade used three sheets of a titanium alloy to form the outer panels of the blade and the inner support structure. Diffusion bonding<sup>14</sup> was used to join the panels, while the super-plasticity<sup>15</sup> of the titanium alloy enabled the forming an internal support structure and shaping of the blade. The manufacturing process involved diffusion bonding three titanium alloy sheets together at high temperatures. The aerofoil shape was then formed through inflating the cavity of the diffusion bonded construction at elevated temperature between contoured metal dies using an inert gas.

Product assurance standards for the blades were determined through various non-destructive inspection techniques. These inspection techniques included ultrasonic and radiographic assessment of the diffusion bonds and structures formed during the superplastic forming. They also enabled determination of various internal structural dimensions.

<sup>&</sup>lt;sup>11</sup> The chord is the distance between the leading and trailing edge of an aerofoil, measured parallel to the normal airflow over that aerofoil.

<sup>&</sup>lt;sup>12</sup> Loads occur once per engine start-stop cycle.

<sup>&</sup>lt;sup>13</sup> Multiple loading cycles during each engine cycle from air intake disturbances.

<sup>&</sup>lt;sup>14</sup> A solid-state welding technique used in metalworking, capable of joining similar and dissimilar metals. It operates on the principle of solid-state diffusion, wherein the atoms of two solid, metallic surfaces intersperse themselves over time.

<sup>&</sup>lt;sup>15</sup> Property of flowing like hot glass at elevated temperatures under modest applied pressures with no tendency to necking or fracture.

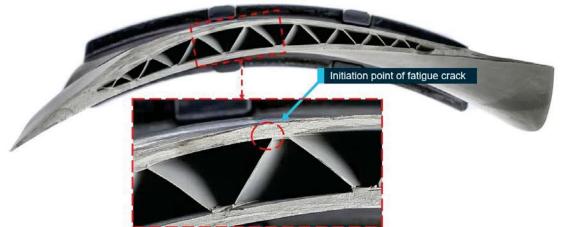
# **Rolls-Royce investigation**

#### Examination of failed fan blade RGF35538

Ultraviolet light assessment of the failed fan blade did not identify any evidence of major organic contamination, indicating that there had not been any recent bird-strikes. A 3D scan and x-ray imaging of the retained section of blade did not show any unusual deviations or features consistent with impact damage.

Optical and electron microscope inspection identified a region of fatigue cracking on the inner surface of the convex panel of the fan blade, about mid-chord from the blade leading edge. The fatigue appeared to have initiated on the inner surface of the panel at the acute corner of a membrane-to-panel bond (Figure 4).

# Figure 4: Overview of fracture surface showing the initiation point of the fatigue crack on the inner surface of the convex panel



Photograph of the remaining section of the failed blade identifies the initiation point of the stress crack that led to the blade failure. Source: Rolls-Royce and ATSB.

Initial crack propagation was in a chordwise direction,<sup>16</sup> before propagating towards the outer aerofoil surface (Figure 5). The remaining fracture surface was comparatively duller and angled; consistent with a ductile overstress failure.

<sup>&</sup>lt;sup>16</sup> From the leading to the trailing edge of the fan blade.

locate corner angle 2 2 2 mm

Figure 5: Magnified view of the fracture near the initiation point showing propagation of the fatigue crack from the inner surface of the convex panel

The blue cross indicates the crack initiation point, the red region is the initial chordwise propagation area, and the yellow arrows show the general propagation directions. Source: Rolls-Rovce.

A microstructural examination of the aerofoil did not reveal any anomalies that could be attributed to the initiation of the fatigue crack. It did, however, find that there were macrozones<sup>17</sup> in the material consistent with the manufacture of the panel by a rolling process. It appeared that the fatigue crack had propagated in a chordwise direction through one of these macrozones along the inner surface of the convex panel. This resulted in the elongated (20:1) crack shape shown in red in Figure 5. Trials undertaken by the manufacturer indicated that, had a crack of this size been present when the previous phased array inspection was undertaken it would have been detected. It was therefore concluded that it was most probable that the crack was smaller and below the rejection criteria in place at that time. It is considered likely that the crack was able to propagate more quickly due to the presence of the macrozone.

#### Previous fan blade failures

Rolls-Royce advised the ATSB of seven Trent 700 fan blades failure events prior to the failure of this fan blade, the first in August 2006. There was also another failure that occurred about eight months after this failure in February 2018. Rolls-Royce conducted an investigation on all nine of these failures.

The first six of the blade failure events were attributed to manufacturing issues. Most of these related to the use of an incorrect gas, or air ingress into the blade cavity, during the super plastic forming processes that resulted in changes in the properties of the internal layers of blade material. These changes made them more susceptible

<sup>&</sup>lt;sup>17</sup> A macrozone is a region within a titanium alloy's microstructure where one of the phases is aligned in a certain direction.

to premature failure. No such manufacturing issues had been identified with the subsequent fan blade failures.

The Rolls-Royce investigation found that the three most recent blade failures (including this one) were attributed to a fatigue crack that originated in an acute corner that is formed between the internal membrane and the convex panel (the 'membrane-to-panel acute corner').

#### Stress analysis

#### Effect of manufacturing geometry variations

Rolls-Royce reviewed the electronic manufacturing data for all Trent 700 fan blades produced since 2003. This data included measurements from post-manufacture inspection. The data enabled computer-based modelling of the location and magnitude of peak stress<sup>18</sup> for each manufactured blade in service. An analysis of that modelling determined that variation in the manufacture of the fan blades, although well within design tolerance, resulted in some variation to the peak stress location and magnitude. The modelling showed that panel thickness distribution (between the root and tip of the blade) could influence the magnitude of the stress of individual blades at the peak stress location, and showed that two of the three most recent event blades were in the top three per cent of stress levels. Detailed modelling of manufacturing tolerance. The failed blade from this occurrence was estimated to have had a peak stress of 104% of nominal.

While the stress analysis provided some indication of a causal factor, Rolls-Royce advised that the increased stress was determined not to increase the localised stress enough to be the only contributor to the fatigue crack.

#### The acute corner radius

During the detailed laboratory examination of the failed blade geometry, and in comparison with other high life blade geometries, it was identified that there was some variation in the radius formed in the acute corner where the internal membrane joins with the convex panel (Figure 5). Rolls-Royce modelled the effects of the exact geometry of the failed blade, to examine the effect of this corner radius. The analysis found that the specific geometry of the failed blade resulted in a very localised stress concentration in the acute corner that had not been identified in the original stress modelling during design. The analysis found that the localised stress concentration effect had increased the stress by almost 170 per cent.

A similar analysis of the other two failed fan blades found that the stress levels within the acute corner were sensitive to the acute corner radius. Of the geometries examined, the stress increased up to 140 per cent in stress concentration. The acute corner radius was not measured during manufacture as it is located inside the blade and cannot by inspected by normal manufacturing inspection techniques. As such, Rolls-Royce were unaware of the variation in the size of the radii, and therefore did

<sup>&</sup>lt;sup>18</sup> The peak stress is the maximum modelled stress that the blade will be expected to experience in service.

not know what effect this stress concentrating feature may have on the in-service fleet.

#### Trent 700 fan blade design

As part of the investigation, Rolls-Royce also reviewed the blade design, as while all Trent engine fan blades have the same wide chord, hollow-core construction, it was only the Trent 700 blades experiencing issues with aerofoil fatigue cracking. Rolls-Royce considered the differences between blades for each of the engine variants; finding that the panel stresses of Trent 700 blades were relatively high in comparison to other blades in the family. However, the stress levels in the panel were not high enough to be the only contributor to the failure. It was therefore considered that the high stress levels in the panel, in combination with the stress concentrating effects of the small acute corner radii, had sufficiently elevated the localised stresses to initiate cracks at the radius location – ultimately leading to blade failure.

#### Previous Trent 700 fan blade failures service management

The inspection requirements for the Trent 700 fan blade have progressively changed as a result of partial blade release events and have included a combination of changes to the inspection intervals and inspection method. Appendix B – History of Trent 700 fan blade failures and in-service management, with a detailed time sequence for the Trent 700 fan blade events, the identified cause, and subsequent changes in the maintenance inspection regimes. Below is a summary of that information.

In addition to the previously instructed in-service maintenance for the fan blade, on 15 July 2013, as a result of the first seven blade failures, Roll-Royce released non-modification service bulletin (NMSB) RB.211-72-AH465. The NMSB stated that the reason for issue was:

Following in-service LP Compressor (LPC) blade release events it is recommended that an in-service inspection (ultrasonic) is undertaken to mitigate against release events. The ultrasonic inspection is capable of detecting sub-surface anomalies in the LP compressor blade aerofoil. This inspection is available for Trent 700 LP compressor blades at shop visit but the intervals between shop visits are too large to only use shop visit opportunities therefore on-going in-service repeat inspections are required.

The NMSB provided two ultrasonic inspection techniques for the fan blades:

- C-scan an automated technique used in overhaul facilities with the blades removed from the aircraft.
- Phased array a manual technique that could be used on-wing.

The threshold of the initial inspection was listed as 3,600 cycles since new, and the inspection was to be repeated every 2,400 cycles since the last inspection.

At the time of the blade failure in this occurrence, there were three revisions to the NMSB. The changes are presented in Table 1.

Revision	Issue date	Changes
0	15 July 2013	Initial issue
1	10 July 2015	Removal of non-required C-scan options, replacement of phased array procedures with reference to the applicable Aircraft Maintenance Manual, inclusion of a procedure for blades rejected from the phased array inspection, and update of the training requirements
2	11 May 2016	Reduced initial inspection threshold from 3,600 to 2,400 cycles since new, and phased array training requirements clarified.
3	27 April 2017	Updated references to the changes made in the C-scan and phased array inspection procedures, and update of vibration survey requirements to align with the Aircraft Maintenance Manual.

#### Table 1: NMSB RB.211-72-AH465 revisions

During a review of previous inspection results for the February 2018 event, Rolls-Royce identified the presence of a low-level indication<sup>19</sup> that was below the threshold limit. As part of the investigations into the three most recent failure events, Rolls-Royce then also reviewed all available fan blade C-scan inspection results to identify other blades that may have had low-level indications at a similar location.

This review identified 24 possible affected blades, and Rolls-Royce released NMSB RB211-72-K096 on 9 August 2018, which instructed the removal and re-inspection of those blades. Where indications were identified, it was recommended that the affected blades undergo a phased array ultrasonic inspection, before being returned to an overhaul shop for a repeat C-scan inspection to determine if the previous indication had changed. Re-inspection of the blades removed from service found one blade where no crack indication was identified (suggesting the original indication was spurious), while the indication on the remainder were confirmed by repeat C-scan inspection. Three of these had increased in size and were subject to detailed laboratory examination. This work provided further evidence to support the Rolls-Royce analysis that it was not solely panel stress leading to cracking, but that other features such as the size of the acute corner radius, influenced the stress concentrations and the propensity of an individual blade to crack.

<sup>&</sup>lt;sup>19</sup> An indication is the response or evidence from a non-destructive examination that may require further analysis to determine its relevance.

# Safety analysis

While enroute from Perth, Western Australia, to Kuala Lumpur, Malaysia, a lowpressure compressor (fan) blade from the left engine of an AirAsia X A330, registration 9M-XXE, failed. The blade failure in turn disrupted the operation of that engine. The flight crew completed the ENG 1 STALL procedure, shutting the engine down, and then initiated a diversion (in this case return) to Perth. The diversion to Perth was appropriate considering the operator's procedures, their location and the status of other aerodromes in the area. As a result, this analysis will only examine the reasons behind the engine fan blade failure.

# The failed fan blade

The fan blade failed at 4,701 cycles when a fatigue crack, which had initiated at an internal membrane-to-panel acute corner, grew to a size where the remaining strength in the blade could not sustain operational loads. The engine manufacturer, Rolls-Royce, carried out significant analysis to determine why this, and other Trent 700 blades had failed prematurely.

Detailed examinations did not identify any manufacturing defects or operational damage that would explain why fatigue cracking initiated in the blade. Nor did they identify any operational conditions that may have contributed to the failure.

Structural analysis of normal variations in blade geometry (within manufacturing tolerances) found that the stresses in the convex panel of the blade was about four per cent higher than the initially modelled blade stress. This placed the stress values in the top three per cent of the blade population produced since 2003; however, the level of stress was not sufficient, in itself, to account for the initiation of the fatigue crack.

Further analysis found that the radius in the internal membrane-to-panel acute corner produced a stress concentration, and that, in combination with the increased general stress state, could explain why a fatigue crack initiated at that location.

The crack shape (aspect ratio) was also examined to determine the effect that it had on growth rate. The early stages of the crack growth were predominantly along the internal surface of the panel, initially growing along the chord of the panel, before penetrating into its thickness. It was identified that this growth was characteristic of growth through a macrozone in the material texture. When assessing the effect of this initial crack propagation on the expected life of a fan blade, Rolls-Royce found that the elongated crack shape resulting from growth along a macrozone could, based on typical material properties, reduce the propagation life of the blade by up to 30%.

Rolls-Royce identified that the complex manufacturing processes used in the production of Trent 700 fan blades can result in a variation in the membrane-to-panel geometry; in particular the acute corner radius. Thus, there was potentially a population of Trent 700 fan blades with acute corner radii that made them susceptible to early onset fatigue cracking. The acute corner radius was an artefact of the super plastic forming process and could not be measured using normal

production techniques, so Rolls-Royce was not able to identify the size of this population. As such, susceptible blades across the fleet were not able to be identified from the manufacturing records and individually managed.

At the time that the blade failed, there was an existing inspection regime to check for cracking in the fan blades of Trent 700 engines. That inspection had been completed for the failed blade 1,099 cycles prior to the in-service failure, at which time the blade was considered acceptable and returned to service.

The next inspection for the blade was not due for another 1,301 cycles. As such, the existing inspection interval was too great to capture the initiation and growth of the crack that developed in this blade due to the specific crack shape. Thus, the 2,400 cycle inspection interval that was in force at the time of this blade release event may have resulted in an in-service population of fan blades, with similar high stresses and small membrane-to-panel acute corner radii, at risk of premature in-flight failure.

# Findings

From the evidence available, the following findings are made with respect to the 25 July 2017 in-flight engine failure on AirAsia X Airbus A330 registered 9M-XXE, and its subsequent diversion/return to Perth, Western Australia.

**Safety issues are highlighted in bold to emphasise their importance.** A safety issue is a safety factor that (a) can reasonably be regarded as having the potential to adversely affect the safety of future operations, and (b) is a characteristic of an organisation or a system, rather than a characteristic of a specific individual, or characteristic of an operating environment at a specific point in time.

These findings should not be read as apportioning blame or liability to any particular organisation or individual.

# **Contributing factors**

- A fan blade in the left engine failed due to fatigue cracking, which had initiated at the corner of a membrane to panel bond, leading to the engine failure, shutdown and diversion.
- The Trent 700 blade manufacturing process produced a variation in internal membrane-to-panel acute corner geometry that, in combination with the inherent high level of blade panel stress, could lead to increased localised stresses in those corner areas and the initiation and propagation of fatigue cracking. [Safety issue]
- The scheduled inspections recommended by Rolls-Royce to detect cracking in Trent 700 fan blades, were insufficient to detect early onset fatigue cracks in the membrane to panel bond before those cracks could progress to failure. [Safety issue]

# **Other findings**

• Following a fan blade failure on the left engine, the flight crew returned to Perth as it was the nearest suitable aerodrome according to the operator's procedures.

# Safety issues and actions

The safety issues identified during this investigation are listed in the Findings and Safety issues and actions sections of this report. The Australian Transport Safety Bureau (ATSB) expects that all safety issues identified by the investigation should be addressed by the relevant organisation(s). In addressing those issues, the ATSB prefers to encourage relevant organisation(s) to proactively initiate safety action, rather than to issue formal safety recommendations or safety advisory notices.

Depending on the level of risk of the safety issue, the extent of corrective action taken by the relevant organisation, or the desirability of directing a broad safety message to the aviation industry, the ATSB may issue safety recommendations or safety advisory notices as part of the final report.

All of the directly involved parties were provided with a draft report and invited to provide submissions. As part of that process, each organisation was asked to communicate what safety actions, if any, they had carried out or were planning to carry out in relation to each safety issue relevant to their organisation.

The initial public version of these safety issues and actions are provided separately on the ATSB website to facilitate monitoring by interested parties. Where relevant the safety issues and actions will be updated on the ATSB website as information comes to hand.

# Fatigue cracking of Trent 700 fan blades

Safety issue number:	AO-2017-066-SI-01
Safety issue owner:	Rolls-Royce plc
Operation affected:	Aviation: Air transport
Who it affects:	Air transport operators whose aircraft are fitted with Trent 700 engines.

#### Safety issue description

The Trent 700 blade manufacturing process produced a variation in internal membrane-to-panel acute corner geometry that, in combination with the inherent high level of blade panel stress, could lead to increased localised stresses in those corner areas and the initiation and propagation of fatigue cracking.

#### Proactive safety action

Action taken by:Rolls-Royce plcAction number:AO-2017-066-NSA-038Action status:Closed

#### Safety action taken:

Rolls-Royce have initiated a review of the design and manufacturing of the Trent 700 fan blade to address potential contributing factors that could lead to the failure of the fan blade. This review activity has identified areas for improvement such as introducing local panel thickening to reduce blade panel stress to a level that will mitigate the acute corner variations observed in production. It is anticipated that a modified blade will be available in service in the second half of 2021. Rolls-Royce are

continuing to try to understand what manufacturing processes may influence the acute corner radii.

#### ATSB Comment in response

The ATSB welcomes the proactive safety actions taken by Rolls-Royce with regards to understanding the potential contributing factors to the fatigue crack development and attempting to mitigate them.

#### Status of the safety issue

 Issue status:
 Closed – Adequately addressed

 Justification:
 While Roll-Royce are continuing to work on gaining an understanding of the manufacturing processes that may influence the acute corner radius, the ATSB is satisfied that the blade improvements are sufficient on their own to reduce the likelihood of further fatigue crack initiation in Trent 700 fan blades.

### Inspection period for blade cracking

Safety issue number:	AO-2017-066-SI-02
Safety issue owner:	Rolls-Royce plc
Operation affected:	Aviation: Air transport
Who it affects:	Air transport operators whose aircraft are fitted with Trent 700 engines.

#### Safety issue description

The scheduled inspections recommended by Rolls-Royce to detect cracking in Trent 700 fan blades, were insufficient to detect early onset fatigue cracks in the membrane to panel bond before those cracks could progress to failure.

#### Proactive safety action

Action taken by:Rolls-Royce plcAction number:AO-2017-066-NSA-039Action status:Closed

#### Safety action taken:

Rolls-Royce has taken the following safety actions to manage the risk of in-service fan blade cracking:

- RB.211-72-AH465 Revision 4 was issued on 3 October 2017 to reduce the initial and repeat inspection threshold from 2,400 cycles to 1,200 cycles. An alleviation period was included for Trent 700 low-pressure (LP) compressor (fan) blades that were affected by this change.
- On 26 July 2018, Rolls-Royce issued Revision 5 to Service Bulletin RB.211-72-AH465 to specify the requirements for operators conducting standard and non-standard operations (as defined in Time Limits Manual, 05-00-01). Additional changes were also made to the compliance section, to ensure all blades were inspected at an interval of 1,200 cycles by 31 December 2018.
- 3. On 9 August 2018, Rolls-Royce issued a non-modification service bulletin RB211-72-K096. This service bulletin recommended the removal and re-inspection of specific serial number LP compressor (fan) blades where

indications were identified at earlier inspections, but were below the threshold for rejection.

- 4. In November 2019, Rolls-Royce revised RB.211-72- AH465 (Revision 6) to introduce an enhanced inspection process thereby enabling the nominated inspection techniques to identify smaller cracks. Specifically, the revised water-coupled phased-array inspection has been shown to be a more reliable and repeatable technique whilst increasing detection sensitivity to identify smaller defects. The service bulletin also introduced improvements to the Cscan post-processing software and provided additional compliance limitations.
- 5. In addition, Rolls-Royce explored ways to better mitigate the effect of imbalance and vibrational loads following a fan blade failure event. The result was a control system modification, designed to shut the engine down quickly when fan blade failure event occurs to reduce damage to the LP (fan) shaft. This control system modification, RB.211-73-J947 RB 73-J947, will be installed on the Electronic Engine Controller (EEC) during its next scheduled maintenance visit.

#### Proactive safety action

 Action taken by:
 European Aviation Safety Agency

 Action number:
 AO-2017-066-NSA-040

 Action status:
 Closed

#### Safety action taken:

On 5 September 2018, the European Aviation Safety Agency (EASA) issued Airworthiness Directive (AD) 2018-0188R1 for the inspection/replacement of Trent 700 engine low pressure compressor (fan) blades. The AD was a revision to an earlier AD, with the updated requirements per the most recent Rolls-Royce NMSB RB.211-72-AH465.

#### Status of the safety issue

Issue status: Closed – Adequately addressed

**Justification:** The likelihood of further fan blade failures has been reduced due to the actions taken by the engine manufacturer, including the enhanced inspection technique with smaller intervals, and the removal of the blades that have an increased probability of failure through stress induced cracking.

# **General details**

### Occurrence details

Date and time:	25 June 2017 08:16 WST	
Occurrence category:	Incident	
Primary occurrence type:	Engine failure or malfunction	
Location:	Carnarvon Aerodrome, 249.23° T 130K	m (NONOG IFR)
	Latitude: 25° 17.5800' S	Longitude: 112° 27.6780' E

# **Aircraft details**

Manufacturer and model:	Airbus A330-343	
Registration:	9M-XXE	
Operator:	AirAsia X	
Serial number:	1075	
Type of operation:	Air Transport High Capacity – Passenge	er
Departure:	Perth	
Destination:	Kuala Lumpur	
Persons on board:	Crew –10	Passengers –357
Injuries:	Crew – 0	Passengers – 0
Aircraft damage:	Minor	

# **Personnel information - Captain**

Licence details:	Air Transport Pilot (Aeroplane) Licence (ATP(A)L)	
Medical certificate:	Current Class 1	
Aeronautical experience:	16,070 hours	

# Personnel information – First Officer

Licence details:	Air Transport Pilot (Aeroplane) Licence (ATP(A)L)	
Medical certificate:	Current Class 1	
Aeronautical experience:	3,385 hours	

# **Sources and submissions**

# **Sources of information**

The sources of information during the investigation included:

- the flight crew
- Rolls-Royce plc
- AirAsia X
- Airservices Australia

## References

Magerramova, L., Volkov, M., Afonin, A., Svinareva, M. and Kalinin, D., Application of Light Lattice Structures for Gas Turbine Fan Blades.

## **Submissions**

Under Part 4, Division 2 (Investigation Reports), Section 26 of the *Transport Safety Investigation Act 2003* (the Act), the Australian Transport Safety Bureau (ATSB) may provide a draft report, on a confidential basis, to any person whom the ATSB considers appropriate. Section 26 (1) (a) of the Act allows a person receiving a draft report to make submissions to the ATSB about the draft report.

A draft of this report was provided to the flight crew, AirAsia X, Rolls-Royce plc, Airbus, the Civil Aviation Safety Authority, the Air Accident Investigation Bureau Malaysia, the United Kingdom Air Accidents Investigation Branch, and the Bureau d'Enquêtes et d'Analyses pour la Sécurité de l'Aviation Civile.

Submissions were received from AirAsia X, Rolls-Royce plc, Airbus, the Air Accident Investigation Bureau Malaysia, the United Kingdom Air Accidents Investigation Branch, and the Bureau d'Enquêtes et d'Analyses pour la Sécurité de l'Aviation Civile. The submissions were reviewed and, where considered appropriate, the text of the report was amended accordingly.

# Appendix A – Additional operational information

# **Operational information**

### The Operations Manual suite

The Operations Manual (OM) suite contained the operator's policies, instructions and procedures necessary for flight operations. There were four parts to the suite:

- Part A General/Basic (OMA) contained non-type related operational policies, instructions and procedures, including operating procedures that covered flight preparation instructions, flight procedures and additional procedures applicable to extended diversion time operations
- Part B Aeroplane Operating Manual (OMB) contained all of the type related airplane operating manuals, including the flight crew operating manual (FCOM) for the A330
- Part C Route and Airport (OMC) contained route and airport information
- Part D Training (OMD) contained training related information.

### The electronic centralised aircraft monitor

The ECAM monitors the various aircraft systems, including the aircraft's engines, and displays information about those systems to the flight crew. The components of the ECAM include the:

- flight warning computers
- engine/warning and the system display units

When the flight warning computers detect a system failure, they automatically trigger the appropriate ECAM alert level. That ECAM alert level will result in the:

- display of the ECAM message attached with the condition on the engine/warning display
- triggering of the alert level's aural and visual attention-getters
- display of the required emergency/abnormal procedure on the engine/warning display
- system display showing the relevant system schematic.

There were three ECAM alert levels. From a systems perspective:

- The most serious, a level 3 red safety priority alert, denoted a system failure that alters flight safety and required immediate flight crew action. The required action is displayed as a procedure on the lower left section of the engine/warning display (Figure A 1).
- A level 2 amber abnormal priority alert denoted a system failure that does not have a direct consequence on flight safety, but required crew awareness. Action in response to a level 2 alert should be taken without delay, time and situation

permitting. The required action is displayed as a procedure on the lower left section of the engine/warning display.

• A level 1 amber degradation priority alert required crew awareness and then monitoring.

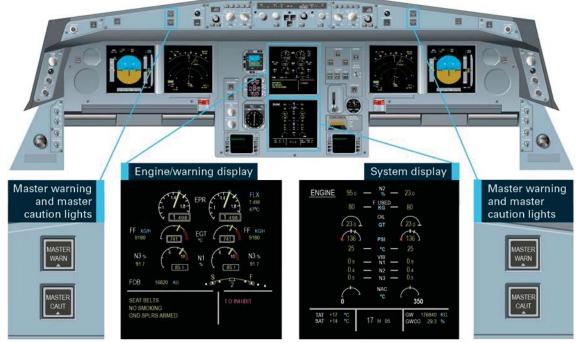


Figure A 1: The cockpit front panels

The front panels showing the location and exploded view of the engine/warning display and system display, and the master warning/caution lights. Source: Airbus, modified by ATSB.

### Emergency and abnormal procedures

The triggering of an ECAM alert resulted in the required procedure being presented to the flight crew on the engine/warning display. The FCOM contained a detailed examination of the procedures required to be completed for the various ECAM alerts.

The engine control system fault (ENG CTL SYS FAULT) ECAM alert was a level 2 amber caution alert, triggered by the failure of any one of a number of engine control systems, including the loss of the N1 and/or N2 parameters. The flight crew response was dependent on the source of the failure, which was notified to the flight crew on the engine/warning display.

An engine stall would trigger the ENG 1(2) STALL level 2 alert. The displayed ECAM procedure required the flight crew to move the thrust lever to idle, then check the engine parameters.

When the engine's core speed reduced to below idle while the ENG MASTER switch is ON, the ENG 1(2) FAIL level 2 ECAM message would trigger. The displayed ECAM procedure required the flight crew to move the engine's thrust lever to idle and then wait for a period of time while the engine automatically attempts to relight. If a relight is not achieved, the procedure required the flight crew to select the ENG MASTER switch to OFF. The flight crew was then required to make a damage assessment. The FCOM provided guidance on indications of damage to an engine. Placing the ENG MASTER switch to OFF resulted in the engine being shut down, which in turn triggered the ENG 1(2) SHUTDOWN ECAM message. When an engine was shut down, the amber LAND ASAP and ENG 1(2) SHUT DOWN ECAM messages are displayed, along with the associated shutdown procedure. The engine shut down procedure was designed to place the aircraft in a configuration that enabled single engine operation.

The FCOM included following definition for the LAND ASAP ECAM message:

If red LAND ASAP is part of the procedure, land as soon as possible at the nearest airport at which a safe landing can be made.

Note: red LAND ASAP information is applicable to a time-critical situation.

If amber LAND ASAP is part of the procedure, consider landing at the nearest suitable airport.

Note: The suitability criteria should be defined in accordance with the Operator's policy.

#### Aerodrome data available to the flight crew

#### The Flight Management Guidance and Envelope Computer

The aircraft was fitted with two Flight Management Guidance and Envelope Computers (FMGES). One of the functions of the FMGES was navigation. Each FMGES was able to compute the aircraft position and steer the aircraft along a preplanned route using vertical and speed profiles or flight crew selected flight parameters. To perform these operations the FMGES used databases that provided navigation and aircraft performance data. As part of its navigation function, the FMGES was also able to provide the flight crew with a range of navigation information.

The FMGES navigation database included airports, runways, approach and departure procedures, company routes and alternate aerodromes. The aircraft operator was responsible for defining the database content. The operator advised that the FMGES data base contained information for all en route alternate aerodromes, including emergency aerodromes such as Learmonth.

#### Electronic Flight Bag

The operator provided each flight crew with an electronic flight bag (EFB),<sup>20</sup> in the form of an iPad. The EFB contained a database which included airport data and airport approach and departure procedures charts. The operator advised that the EFB included charts for all en route alternate aerodromes, including emergency aerodromes such as Learmonth.

#### Operator's policies, procedures and guidance

#### In-flight procedures

The OMA stated that, after an engine failure, the pilot-in-command was required to divert to the nearest suitable airport. Where there was more than one suitable airport

<sup>&</sup>lt;sup>20</sup> An electronic flight bag is a software and data-services solution to digitise logbooks, charts and other flight documents to achieve a paperless cockpit.

available, the nearest in flight time was to be considered. The OMA provided a number of criteria to be considered by the flight crew in determining whether an airport was suitable or not, including weather, runway conditions and rescue and fire fighting services (RFFS) category.<sup>21</sup> At the time of the engine failure, Perth Airport was the nearest suitable aerodrome using the required criteria specified in the OMA.

#### Extended Diversion Time Operations

The OMA required that two engine aircraft flying over remote areas and not operating in accordance with extended diversion time operations (EDTO) procedures, should be flown no more than 60 minutes flying time from an 'adequate' airport. Commonly referred to as the 'threshold time' for EDTO, the 60 minute limit equated to a distance of 430 NM (796 km), based on the A330 one engine inoperative cruise speed. The weather conditions for that adequate airport were required to meet specific requirements at the expected time of arrival. The OMA listed Australia as a remote area.

The occurrence involved an engine failure while the aircraft was operating as an EDTO flight. The OMA defined an EDTO flight as one where a point on the route was more than the threshold time from an authorised EDTO alternate airport. EDTO procedures enabled the threshold time to be exceeded, up to the maximum diversion time approved for that operation. The operational flight plan identified that the occurrence flight was authorised to operate up to 120 minutes at the one engine inoperative cruise speed from an EDTO alternate airport. The requirements to qualify as an EDTO alternate airport included:

- aerodrome technical specifications that met those required for A330 operations
- particular services to be available, including rescue and fire fighting services (RFFS) at a minimum of CAT 4, during the expected times that the aerodrome could be required for use.

The EDTO in-flight procedures section of the OMA also contained the following requirement regarding the LAND ASAP ECAM message:

In cases leading to a LAND ASAP message on ECAM or QRH, the crew are to follow the ECAM procedures and land at the nearest suitable airport. LAND ASAP in RED requires greater urgency than LAND ASAP in AMBER.

#### Preferred alternate aerodromes

The operator published a list of company preferred alternate aerodromes in the OMC. Aerodromes that were company approved destinations were also included within the list of preferred alternates. To qualify as a preferred alternate, the operator published a set of criteria that included:

- runway width of 45 m or better
- landing distance available of 2,100 m or better

<sup>&</sup>lt;sup>21</sup> An aerodrome's required RFFS is based on a number of criteria, including the overall length and the fuselage width of the aircraft that predominantly use the aerodrome, and a threshold value in the number of movements of the highest category of aircraft. The various levels of RFFS category (CAT) establish a requirement for, among other things, the types and number of rescue and firefighting equipment required to be available at that aerodrome.

• RFFS CAT 7 or better, except for an EDTO alternate, which required CAT 4 or better.

For routes where aerodrome coverage was stated as being remote or sparse, the operator also identified alternate aerodromes that were designated as emergency alternates. These aerodromes did not meet all of the requirements of an EDTO alternate. The OMA stated that emergency alternates were for use only in case of dire emergency.

The FMGES and the EFB databases contained information for specific aerodromes, including runway and approach procedures. Those specific aerodromes were designated as destination, destination alternates or approved en route alternate aerodromes for A330 operations.

# Appendix B – History of Trent 700 fan blade failures and in-service management

Table B1 details a time sequence for the relevant information from the failed blade from this event, along with all Trent 700 fan blade events, the identified initiating factor, and in-service management.

Date	Event/condition
11/08/2006	Blade failure 1 – 8,127 CSN, failure attributed to hot rework and air ingress during manufacturing
30/03/2008	Blade failure 2 – 3,174 CSN, failure attributed to incorrect gas during manufacturing
17/02/2010	Blade RGF35538 (Occurrence event: engine SN 41778) manufacturing completed. Blade not subject to hot rework
11/08/2010	Blade failure 3– 9,569 CSN, failure attributed to hot rework and air ingress
13/02/2011	Blade failure- 4,367 CSN, failure attributed to LOB together with high stress event
16/05/2011	Blade failure 5 – 7,082 CSN, failure attributed to incorrect gas
23/05/2011	Rolls-Royce NMSB RB.211-72-G702, Inspection of low pressure (LP) compressor blades
02/04/2012	Rolls-Royce NMSB RB.211-72-G872 Initial issue, Inspection of LP compressor Blades – This inspection was a once round the fleet for all blades over 3,000 cycles. EASA also issued AD 2012-0247 to require a one-time inspection of the higher life low pressure compressor blades.
02/07/2012	Rolls-Royce NMSB RB.211-72-G872 Rev 1
21/10/2012	Blade failure 6 – 13,444 CSN, failure attributed hot reshape and air ingress during manufacturing
08/03/2013	Rolls-Royce NMSB RB.211-72-G872 Rev 2
08/03/2013	Rolls-Royce RB.211-72-H311, Inspection of LP Compressor Blades – Released after identification of a population of blades that were incorrectly inspected. EASA issued AD 2013- 0060 retaining the requirements of AD 2012-0247 to require a one-time reinspection of the affected blades
15/07/2013	Rolls-Royce NMSB RB.211-72-AH465 Initial Issue – Following in-service LP compressor blade release, it is recommended that an in-service ultrasonic inspection is undertaken. EASA issued AD 2014-0031, to require repetitive inspections of all affected LP compressor blades, and depending on findings, replacement
11/09/2014	Event blade RGF35538 phased array inspection at 3,602 CSN – Nil findings
10/11/2014	Blade failure 7 – 7,225 CSN, grouped with the current event blade
10/07/2015	Rolls-Royce NMSB RB.211-72-AH465 Rev 1 – Revision 1 of this NMSB was issued to remove some of the options to undertake C-scan inspection of LP compressor blades, which were no longer required. The inspection procedures for the phased array technique were replaced with a reference to the applicable Aircraft Maintenance Manual inspection procedure tasks. A procedure was added to the Accomplishment Instructions for LP Compressor blades rejected from phased array inspection. Additionally, the training requirements for inspection were updated

#### ATSB-AO-2017-066

Date	Event/condition
11/05/2016	Rolls-Royce NMSB RB.211-72-AH465 Rev 2 - Revision 2 of this NMSB was issued to reduce the initial inspection threshold from 3,600 cycles since new to 2,400 cycles since new. The Phased Array inspection training requirements were updated for clarification
27/04/2017	Rolls-Royce NMSB RB.211-72-AH465 Rev 3 - Revision 3 of this NMSB was issued to make reference to the changes implemented to the C-scan and Phased Array inspection procedures. The changes implemented did not however change the effectiveness of past or future inspections. The Accomplishment Instructions step 3.B.8 and the Note in 3.A. regarding the vibration survey requirements for replacement blades were also updated to be in line with the Aircraft Maintenance Manual (AMM).
25/06/2017	Blade failure 8 (engine SN 41778) (occurrence event) – 4,701 CSN
3/10/2017	Rolls-Royce NMSB RB.211-72-AH465 Rev 4 - Reduction in ultrasonic inspection interval from 2,400 to 1,200 cycles
7/02/2018	Blade failure 9–7,223 CSN, grouped with the current event blade investigation
26/07/2018	Rolls-Royce NMSB RB.211-72-AH465 Rev 5 – Revision 5 of this NMSB was issued to specify the requirements for operators conducting Standard and Non-Standard Operations (as defined in Time Limits Manual, 05-00-01) in the Compliance section of this NMSB. Furthermore, additional changes have been applied to the Compliance Section to ensure all blades are inspected at an interval of 1,200 cycles by Dec.31/18.
29/11/2019	Rolls-Royce revised RB.211-72- AH465 Revision 6 introduced an enhanced inspection process which enabled the nominated inspection techniques to identify smaller cracks. Specifically, the revised water-coupled phased-array inspection has been shown to be a more reliable and repeatable technique whilst increasing detection sensitivity to identify smaller defects. The service bulletin also introduced improvements to the C-scan post-processing software and provided additional compliance limitations.

# Australian Transport Safety Bureau

The ATSB is an independent Commonwealth Government statutory agency. The ATSB is governed by a Commission and is entirely separate from transport regulators, policy makers and service providers. The ATSB's function is to improve safety and public confidence in the aviation, marine and rail modes of transport through excellence in: independent investigation of transport accidents and other safety occurrences; safety data recording, analysis and research; fostering safety awareness, knowledge and action.

The ATSB is responsible for investigating accidents and other transport safety matters involving civil aviation, marine and rail operations in Australia that fall within Commonwealth jurisdiction, as well as participating in overseas investigations involving Australian registered aircraft and ships. A primary concern is the safety of commercial transport, with particular regard to operations involving the travelling public.

The ATSB performs its functions in accordance with the provisions of the *Transport Safety Investigation Act 2003* and Regulations and, where applicable, relevant international agreements.

# Purpose of safety investigations

The object of a safety investigation is to identify and reduce safety-related risk. ATSB investigations determine and communicate the factors related to the transport safety matter being investigated.

It is not a function of the ATSB to apportion blame or determine liability. At the same time, an investigation report must include factual material of sufficient weight to support the analysis and findings. At all times the ATSB endeavours to balance the use of material that could imply adverse comment with the need to properly explain what happened, and why, in a fair and unbiased manner.

# **Developing safety action**

Central to the ATSB's investigation of transport safety matters is the early identification of safety issues in the transport environment. The ATSB prefers to encourage the relevant organisation(s) to initiate proactive safety action that addresses safety issues. Nevertheless, the ATSB may use its power to make a formal safety recommendation either during or at the end of an investigation, depending on the level of risk associated with a safety issue and the extent of corrective action undertaken by the relevant organisation.

When safety recommendations are issued, they focus on clearly describing the safety issue of concern, rather than providing instructions or opinions on a preferred method of corrective action. As with equivalent overseas organisations, the ATSB has no power to enforce the implementation of its recommendations. It is a matter for the body to which an ATSB recommendation is directed to assess the costs and benefits of any particular means of addressing a safety issue.

When the ATSB issues a safety recommendation to a person, organisation or agency, they must provide a written response within 90 days. That response must indicate whether they accept the recommendation, any reasons for not accepting part or all of the recommendation, and details of any proposed safety action to give effect to the recommendation.

The ATSB can also issue safety advisory notices suggesting that an organisation or an industry sector consider a safety issue and take action where it believes it appropriate. There is no requirement for a formal response to an advisory notice, although the ATSB will publish any response it receives.

### Terminology used in this report

Occurrence: accident or incident.

**Safety factor:** an event or condition that increases safety risk. In other words, it is something that, if it occurred in the future, would increase the likelihood of an occurrence, and/or the severity of the adverse consequences associated with an occurrence. Safety factors include the occurrence events (e.g. engine failure, signal passed at danger, grounding), individual actions (e.g. errors and violations), local conditions, current risk controls and organisational influences.

**Contributing factor:** a factor that had it not occurred or existed at the time of an occurrence, then either:

(a) the occurrence would probably not have occurred; or

(b) the adverse consequences associated with the occurrence would probably not have occurred or have been as serious, or

(c) another contributing factor would probably not have occurred or existed.

**Other factors that increased risk:** a safety factor identified during an occurrence investigation, which did not meet the definition of contributing factor but was still considered to be important to communicate in an investigation report in the interest of improved transport safety.

**Other findings:** any finding, other than that associated with safety factors, considered important to include in an investigation report. Such findings may resolve ambiguity or controversy, describe possible scenarios or safety factors when firm safety factor findings were not able to be made, or note events or conditions which 'saved the day' or played an important role in reducing the risk associated with an occurrence.